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Attorney's Docket No. 2002US304

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the application of: Mark O. Neisser et al

Serial No.: 10/042,878

Group Art Unit: 1756

Filed: January 9, 2002

Examiner: UNKNOWN

For: PROCESS FOR PRODUCING AN IMAGE USING A FIRST MINIMUM BOTTOM
ANTIREFLECTIVE COATING

**Assistant Commissioner for Patents
Washington, D.C. 20231**

**INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS
OF FILING OR BEFORE MAILING OF THE FIRST OFFICE ACTION**

Sir/Madam:

**1. IDENTIFICATION OF TIME OF FILING INFORMATION DISCLOSURE
STATEMENT**

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 CFR 1.97(e).

2. PRELIMINARY STATEMENTS

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

3. COMPLETED FORM PTO-1449 IS ATTACHED.

**4. IDENTIFICATION OF PRIOR APPLICATION IN WHICH LISTED
INFORMATION WAS ALREADY CITED AND FOR WHICH NO COPIES ARE
SUBMITTED OR NEED BE SUBMITTED**

[] This application relies, under 35 U.S.C. 120, on the earlier filing date of prior application Serial No. _____ filed on _____. The following references were submitted to and/or cited by the Office in this prior application, and therefore, are not required to be provided in this application.

5. COPIES OF LISTED INFORMATION ITEMS ACCOMPANYING THIS STATEMENT

Legible copies of all items listed accompany this information statement.

[X] Exception(s) to above: 6,054,254 A; 5,939,236 A; EP 0 813 114 A; EP 0 542 008 A AND 6,261,743 B1

[] Items in prior application from which an earlier filing date is claimed for this application as identified in No. 4 above.

6. TRANSLATION(S) OF NON-ENGLISH LANGUAGE DOCUMENTS

[] Submitted herewith is an English translation of the following foreign language patents, publications or information or of those portions of those patents, publications or information considered to be material:

[] No English language translations of the foreign language patents, publications or information or parts thereof are readily available, except those listed above.

[] The following foreign language documents submitted are believed to be the equivalent or substantial equivalent of the English language documents identified below, which are also submitted herewith.

7. IDENTIFICATION OF PERSON(S) MAKING THIS INFORMATION DISCLOSURE STATEMENT

The person making this statement is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted:



Sangya Jain, Reg. No. 38,504
Clariant Corporation
70 Meister Avenue
Somerville, NJ 08876
Telephone: 908-429-3536

1756

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

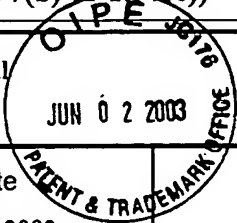
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

2002US304

#5

In Re Application Of: Mark O. Neisser et al



Serial No.

10/042,878

Filing Date

January 9, 2002

Examiner

UNKNOWN

Group Art Unit

1756

Title: **PROCESS FOR PRODUCING AN AMAGE USING A FIRST MINIMUM BOTTOM ANTIREFLECTIVE COATING COMPOSITION**

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Address to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

2002US304

In Re Application: Mark O. Neisser et al

JUN 02 2003

Serial No.

10/042,878

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January 9, 2002

Examiner

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Group Art Unit

1756

**PROCESS FOR PRODUCING AN AMAGE USING A FIRST MINIMUM BOTTOM
COATING COMPOSITION**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. _____ as described below.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

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Typed or Printed Name of Person Signing Certificate

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I certify that this document and fee is being deposited on May 29, 2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Vivian Austin

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Signature

Dated: May 29, 2003

Sangya Jain, Reg. No. 38,504

Clariant Corporation

70 Meister Avenue

S merville, NJ 08876

telephone (908) 595-3890

CC:

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)

Applicant(s): Mark O. Neisser et al

Docket No.

2002US304

Serial No.
10/042,878Filing Date
January 9, 2002Examiner
UNKNOWNGroup Art Unit
1756Invention: **PROCESS FOR PRODUCING AN IMAGE USING A FIRST MINIMUM BOTTOM ANTIREFLECTIVE COATING****RECEIVED**
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TC 1700I hereby certify that this **INFORMATION DISCLOSURE STATEMENT**

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Vivian Austin

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)

Applicant(s): Mark O. Neisser et al

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Group Art Unit

1756

Invention: **PROCESS FOR PRODUCING AN IMAGE USING A FIRST MINIMUM BOTTOM ANTIREFLECTIVE COATING**

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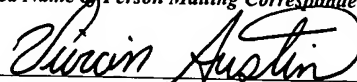
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